# INTEGERS REPRESENTABLE AS THE SUM OF POWERS OF THEIR PRIME FACTORS

JEAN-MARIE DE KONINCK<sup>1</sup> & FLORIAN LUCA<sup>2</sup>

Abstract: Given an integer  $\alpha \ge 2$ , let  $S_{\alpha}$  be the set of those positive integers n, with at least two distinct prime factors, which can be written as  $n = \sum_{p|n} p^{\alpha}$ . We obtain general results

concerning the nature of the sets  $S_{\alpha}$  and we also identify all those  $n \in S_3$  which have exactly three prime factors. We then consider the set T (resp.  $T_0$ ) of those positive integers n, with at least two distinct prime factors, which can be written as  $n = \sum_{p \mid n} p^{\alpha_p}$ , where the exponents

 $\alpha_p\geqslant 1$  (resp.  $\alpha_p\geqslant 0$ ) are allowed to vary with each prime factor p. We examine the size of T(x) (resp.  $T_0(x)$ ), the number of positive integers  $n\leqslant x$  belonging to T (resp.  $T_0$ ). **Keywords:** Prime factorization

### 1. Introduction

Identifying all those positive integers n such that

$$n = \sum_{p|n} p^{\alpha} \tag{1}$$

for some integer  $\alpha \geqslant 2$  is certainly a difficult problem. Since prime powers  $p^{\alpha}$  (with  $\alpha \geqslant 2$ ) trivially satisfy (1), we shall examine the set  $S_{\alpha}$ , namely the set of those positive integers n satisfying (1) but which have at least two distinct prime factors.

We first obtain general results concerning the nature of the sets  $S_{\alpha}$ . We then identify all those  $n \in S_3$  which have exactly 3 prime factors. We further consider the more general equation

$$n = \sum_{p|n} p^{\alpha_p},\tag{2}$$

<sup>2001</sup> Mathematics Subject Classification: 11A41, 11A25

<sup>&</sup>lt;sup>1</sup> Research supported in part by a grant from NSERC.

<sup>&</sup>lt;sup>2</sup> Research supported in part by projects SEP-CONACYT 37259-E and 37260-E.

where the exponents  $\alpha_p$  are allowed to vary with each prime factor p. Clearly all prime powers have such a representation (2). So let us define T (resp.  $T_0$ ) as the set of all positive integers n having a representation (2) with each  $\alpha_p \geq 1$  (resp.  $\alpha_p \geq 0$ ) but with at least two distinct prime divisors. We obtain a non trivial upper bound for the number  $T_0(x)$  of positive integers  $n \leq x$  belonging to  $T_0$ .

Finally, we give a heuristic argument yielding lower and upper estimates for T(x), the number of positive integers  $n \leq x$  belonging to T.

## 2. General observations

For each integer  $n \ge 2$ , let  $\omega(n)$  stand for the number of distinct prime factors of n and let P(n) stand for the largest prime factor of n. We first make the following observations. Given  $\alpha \ge 2$  and  $n \in S_{\alpha}$ , we have:

- (i)  $P(n) < n^{1/\alpha}$ .
- (ii) Letting  $r = \omega(n)$ , then  $r \ge 3$  and r is odd; this is easily established by considering separately the cases "n odd" and "n even".
- (iii) If  $\alpha$  is even, then  $\omega(n)$  cannot be a multiple of 3; one can see this by considering separately the cases "3|n" and "3/n".
- (iv) If  $\omega(n) = \alpha$ , then n cannot be squarefree, since otherwise, comparing the arithmetic mean with the geometric mean of the prime factors of n, we get

$$n = q_1 q_2 \dots q_{\alpha} = q_1^{\alpha} + q_2^{\alpha} + \dots + q_{\alpha}^{\alpha} \geqslant \alpha q_1 q_2 \dots q_{\alpha} = \alpha n,$$

a contradiction, since  $\alpha \geqslant 2$ .

- (v) If  $n \in S_2$ , then, in view of (ii) and (iii),  $r := \omega(n)$  is odd,  $r \ge 5$ ; moreover:
  - \* if r = 5, then  $n \equiv 5$  or 8 (mod 24),
  - \* if r = 7, then  $n \equiv 7$ , 10, 15 or 18 (mod 24),
  - \* otherwise  $r \ge 11$ .
- (vi) A computer search shows that  $S_3$  contains at least 6 elements, namely:

$$378 = 2 \cdot 3^{3} \cdot 7 = 2^{3} + 3^{3} + 7^{3},$$

$$2548 = 2^{2} \cdot 7^{2} \cdot 13 = 2^{3} + 7^{3} + 13^{3},$$

$$2836295 = 5 \cdot 7 \cdot 11 \cdot 53 \cdot 139 = 5^{3} + 7^{3} + 11^{3} + 53^{3} + 139^{3},$$

$$4473671462 = 2 \cdot 13 \cdot 179 \cdot 593 \cdot 1621 = 2^{3} + 13^{3} + 179^{3} + 593^{3} + 1621^{3},$$

$$23040925705 = 5 \cdot 7 \cdot 167 \cdot 1453 \cdot 2713 = 5^{3} + 7^{3} + 167^{3} + 1453^{3} + 2713^{3},$$

$$21467102506955 = 5 \cdot 7^{3} \cdot 313 \cdot 1439 \cdot 27791 = 5^{3} + 7^{3} + 313^{3} + 1439^{3} + 27791^{3}.$$

(vii) If  $n \in S_4$ , then  $\omega(n) = 7$  or  $\omega(n) \ge 11$ . To show this, first let  $r = \omega(n)$ . We know from (ii) that  $r \ge 3$  and odd; but from (iii), it follows that  $r \ne 3$ ; hence,  $r \ge 5$ . But  $r \ne 5$ ; indeed, if r = 5, then first assume that 5|n; in this case, since  $p^4 \equiv 1 \pmod{5}$  for all primes  $p \ne 5$ ,

$$n = 625 + q_2^4 + q_3^4 + q_4^4 + q_5^4 \equiv 0 + 4 = 4 \pmod{5},$$

which contradicts 5|n; on the other hand, if n is not a multiple of 5, then  $n \equiv 5 \pmod{5}$ , again a contradiction. Hence,  $r \geqslant 7$ . Finally, in view of (iii),  $r \neq 9$ . Hence, we may conclude that r = 7 or  $r \geqslant 11$ .

- (vii) It is not known if T is an infinite set. However, if there exist infinitely many primes p of the form  $p=\frac{2^k+3^\ell}{5}$ , then  $\#T=+\infty$ , the reason being that in this case, we have  $2\cdot 3\cdot p=2^k+3^\ell+p$ .
- (viii) Using a parity argument, it is clear that any number  $n \in T$  has an odd number of distinct prime divisors. One can check that the smallest element of T is 30; in fact, 30 has two representations of type (2), namely

$$30 = 2 \cdot 3 \cdot 5 = 2 + 3 + 5^2 = 2^4 + 3^2 + 5.$$

Letting  $T(x) := \#\{n \le x : n \in T\}$ , a computer search shows that T(100) = 6,  $T(10^3) = 42$ ,  $T(10^4) = 109$ ,  $T(10^5) = 321$  and  $T(10^6) = 973$ . On the other hand, the smallest odd element of T is 915, in which case we have

$$915 = 3 \cdot 5 \cdot 61 = 3^6 + 5^3 + 61.$$

## 3. Identifying those $n \in S_3$ with $\omega(n) = 3$

**Theorem 1.** If  $n \in S_3$  and  $\omega(n) = 3$ , then  $n = 2 \cdot 3^3 \cdot 7$  or  $n = 2^2 \cdot 7^2 \cdot 13$ .

**Proof.** We prove this in 9 steps.

- 1. Write x < y < z for the three distinct prime factors of n. Note that the given relation forces  $z|y^3+x^3$ , so that z|y+x or  $z|y^2-yx+x^2$ , and similarly y|z+x, or  $y|z^2-zx+x^2$ , and x|z+y, or  $x|z^2-zy+y^2$ .
- 2. Assume z|y+x. Since y+x<2y<2z, this is possible only when z=y+x. If x>2, then y+x is even, and so it cannot be an odd prime. Thus, x=2, z=y+2, but then

$$x^3 + y^3 + z^3 = 8 + y^3 + (y+2)^3 \equiv 16 \pmod{y},$$

which is impossible. Thus,  $z \not| y + x$ , and  $z | y^2 - yx + x^2$ . Since z > 3, we also conclude that  $z \equiv 1 \pmod{3}$ , because the relation  $y^2 - yx + x^2 \equiv 0 \pmod{z}$  implies that  $(2y - x)^2 \equiv -3x^2 \pmod{z}$ , which means that  $\left(\frac{-3}{z}\right) = 1$ , which is equivalent to the fact that  $z \equiv 1 \pmod{3}$ . Here, and in what follows, for an odd prime p and an integer a we use  $\left(\frac{a}{p}\right)$  for the Legendre symbol of a in respect to p.

3. Assume that  $z^2|n$ . In this case, we then get  $z^2|y^3+x^3$ , and by the previous arguments, it follows that  $z^2|y^2-yx+x^2$ . This is impossible because  $y^2-yx+x^2=y^2-x(y-x)< y^2< z^2$ . Thus,  $z\|n$ .

4. Assume that y|z+x. Write  $z:=\lambda y-x$ , with some positive integer  $\lambda$ . Clearly  $\lambda\geqslant 2$ . We then get  $x\equiv \lambda y\pmod z$ . Since we also have  $y^2-yx+x^2\equiv 0\pmod z$ , we get  $y^2-y(\lambda y)+(\lambda y)^2\equiv 0\pmod z$ . Thus,  $z|y^2(1-\lambda+\lambda^2)$ , and therefore  $z|1-\lambda+\lambda^2$ . If  $\lambda=2$ , we get  $z|1-2+2^2=3$ , which is impossible. If  $\lambda=3$ , we get  $z|1-3+3^2=7$ . Thus, z=7, and therefore 7=3y-x. Since y is odd, we get x=2 and therefore y=3, which does give the solution

$$2^3 + 3^3 + 7^3 = 2 \cdot 3^3 \cdot 7$$

mentioned in the statement of our theorem.

Assume now that  $\lambda \geqslant 4$ . Then,

$$z = \lambda y - x > (\lambda - 1)y = \lambda y \cdot \frac{\lambda - 1}{\lambda} \geqslant \frac{3\lambda y}{4}.$$

Since  $z|1-\lambda+\lambda^2$ , we also get

$$\lambda^2 > 1 - \lambda + \lambda^2 \geqslant z > \frac{3\lambda y}{4},$$

and therefore that

$$\lambda > \frac{3y}{4}$$
.

Thus,

$$z > \frac{3\lambda y}{4} > \frac{9y^2}{16}.$$

Since we also have  $z|y^2 - yx + x^2$ , we get that

$$\delta = \frac{y^2 - yx + x^2}{z}$$

is a positive integer. However,

$$\delta < \frac{y^2}{z} < \frac{16}{9} < 2,$$

therefore  $\delta = 1$ , and so

$$z = y^2 - yx + x^2.$$

Thus,

$$n = x^3 + y^3 + z^3 = (y+x)(y^2 - yx + x^2) + z^3 = z(y+x) + z^3,$$

therefore

$$\frac{n}{z} = y + x + z^2.$$

Looking at this last relation modulo y, we get  $x+z^2\equiv 0\pmod{y}$ . Since y|x+z, we also get  $z\equiv -x\pmod{y}$  and therefore  $z^2\equiv x^2\pmod{y}$ . Thus,  $x^2+x\equiv 0\pmod{y}$ ; hence, y|x(x+1). This is possible only when y=x+1 and x=2. Thus,  $x=2,\ y=3,\ z=3^2-2\cdot 3+2^2=7$ , so that  $\lambda=3$ , contradicting the fact that  $\lambda\geqslant 4$ .

- 5. From now on, we may assume that  $y \not| z + x$  and therefore that  $y | z^2 zx + x^2$ . If y = 3, then x = 2, in which case  $z | z^3 + 3^3 = 35$ ; hence, z = 7 (because  $z \equiv 1 \pmod{3}$ ), which is a case already treated. Thus, we may assume that y > 3, and since  $y | z^2 zx + x^2$ , an argument similar to the one employed at step 2 tells us that  $y \equiv 1 \pmod{3}$ .
- 6. Here, we observe that  $x\equiv 2\pmod 3$ . Indeed, for if not, we must either have x=3, which is impossible because then 3|n, but  $x^3+y^3+z^3\equiv 2\pmod 3$ , or  $x\equiv 1\pmod 3$ , therefore  $3\not\mid n$ , while  $x^3+y^3+z^3\equiv 0\pmod 3$ .
- 7. Write  $n := x^{\alpha}y^{\beta}z$ . Since we already know that  $x \equiv 2 \pmod{3}$  and  $y \equiv z \equiv 1 \pmod{3}$ , we reduce the relation

$$x^3 + y^3 + z^3 = x^\alpha y^\beta z$$

modulo 3 to get  $1 \equiv 2^{\alpha} \pmod{3}$ . This shows that  $\alpha$  is even.

8.1. Assume that x=2. We first show that  $\alpha=2$ . Indeed, for if not, we would first get  $8\mid y^3+z^3$  and hence that  $8\mid (z+y)(z^2-zy+y^2)$ . Since  $z^2-zy+y^2$  is odd, we get  $8\mid y+z$ . Thus,  $(y,z)\in\{(1,7),(7,1),(3,5),(5,3)\}\pmod{8}$ . We know that  $z\mid y^3+2^3$ , and  $y\mid z^3+2^3$ . In particular,  $-2y\equiv (4/y)^2\pmod{z}$ , and

$$\left(\frac{-2y}{z}\right) = 1,$$

and in a similar way one deduces that

$$\left(\frac{-2z}{y}\right) = 1.$$

Hence, we have

$$1 = \left(\frac{-1}{z}\right) \left(\frac{-1}{y}\right) \left(\frac{2}{y}\right) \left(\frac{2}{z}\right) \left(\frac{y}{z}\right) \left(\frac{z}{y}\right) = (-1)^{\left(\frac{z-1}{2} + \frac{y-1}{2}\right) + \left(\frac{z^2-1}{8} + \frac{y^2-1}{8}\right) + \left(\frac{(y-1)(z-1)}{4}\right)} = (-1)^{1+0+0} = -1,$$

a contradiction. Therefore,  $\alpha = 2$ .

8.2. Here, we show that  $\beta \in \{2, 3\}$ . If  $\beta = 1$ , we get

$$4yz = 2^3 + y^3 + z^3 > 3(2 \cdot y \cdot z) = 6yz,$$

which is impossible, the above inequality following from the AGM-inequality. Using now the fact that  $z|y^2-yx+x^2$  (see step 2), together with the fact that  $y^2-xy+x^2=y^2-x(y-x)< y^2$ , we learn that  $z< y^2$ . Since

$$3z^3 > x^3 + y^3 + z^3 = 4y^\beta z,$$

we get

$$y^{\beta} < \frac{3z^2}{4} < \frac{3y^4}{4} < y^4,$$

and therefore that  $\beta < 4$ .

8.3. Assume that  $\beta = 3$ . Rewrite the equation

$$8 + y^3 + z^3 = 4y^3z$$

as

$$y^3 = \frac{z^3 + 8}{4z - 1}.$$

Let D:=4z-1. Thus  $z\equiv 4^{-1}\pmod D$ . Since we also have  $z^3+8\equiv 0\pmod D$ , we get  $4^{-3}+8\equiv 0\pmod D$  and therefore that  $D|1+8\cdot 4^3=513=3^3\cdot 19$ . Thus,  $D\in\{1,3,3^2,3^3,19,3\cdot 19,3^2\cdot 19,3^3\cdot 19\}$ . Since z must be at least the second prime number which is congruent to 1 modulo 3, we have that  $D\geqslant 4\cdot 13-1=51$ , and since we also have that  $D\equiv -1\pmod 4$ , it follows that in fact only the instance  $D=3^2\cdot 19$  is possible. Therefore  $z=\frac{D+1}{4}=\frac{3^2\cdot 19+1}{4}=43$ . However, for this value of z, the number  $\frac{z^3+8}{4z-1}=\frac{43^3+8}{4\cdot 43-1}=465$  is not the cube of a prime number.

8.4. Assume that  $\beta = 2$ . In this case,

$$z^3 < x^3 + y^3 + z^3 = 4y^2z,$$

so that

$$z^2 < 4y^2$$

which implies that z < 2y. But we also have that  $y^2|(x^3+z^3)$ , and since y does not divide x+z, it follows that  $y^2|z^2-zx+x^2=z^2-2z+4$ . Since  $z\equiv 1\pmod 3$ , we also have that  $3|z^2-2z+4$ , and since y>3, we have that  $y^2|(z^2-2z+4)/3$ . Now write

$$y^2 = \frac{z^2 - 2z + 4}{3\delta},$$

where  $\delta$  is a positive integer. We then get

$$\delta = \frac{z^2 - 2z + 4}{3y^2} < \frac{z^2}{3y^2} < \frac{4y^2}{3y^2} = \frac{4}{3} < 2,$$

which means that  $\delta = 1$ . Thus,  $3y^2 = z^2 - 2z + 4$ . The original relation becomes

$$4y^2z = 8 + y^3 + z^3 = y^3 + (z+2)(z^2 - 2z + 4) = y^3 + 3y^2(z+2),$$

so that

$$4z = y + 3(z + 2) = 3z + y + 6$$
,

which implies that z = y + 6. Thus,  $y \equiv -6 \pmod{z}$ , and since  $z \mid y^2 - yx + x^2 = y^2 - 2y + 4$ , we get  $z \mid (-6)^2 - 2(-6) + 4 = 52 = 4 \cdot 13$ . Thus, z = 13, y = z - 6 = 7, and we have obtained the solution

$$2^3 + 7^3 + 13^3 = 2^2 \cdot 7^2 \cdot 13$$

mentioned in the statement of our theorem.

9. From now on, we assume that x>2. The relation  $x|y^3+z^3$  implies that  $y^3\equiv -z^3\pmod x$  and therefore  $-yz\equiv \left(z^2/y\right)^2\pmod x$ , and so

$$\left(\frac{-yz}{x}\right) = 1. (3)$$

In a similar way, using the facts that  $y|x^3 + z^3$  and  $z|x^3 + y^3$ , one gets

$$\left(\frac{-xz}{y}\right) = \left(\frac{-xy}{z}\right) = 1.$$

Thus,

$$1 = \left(\frac{-yz}{x}\right) = \left(\frac{-1}{x}\right) \cdot \left(\frac{y}{x}\right) \cdot \left(\frac{z}{x}\right) = (-1)^{\frac{x-1}{2}} \cdot \left(\frac{y}{x}\right) \cdot \left(\frac{z}{x}\right),$$

and similarly

$$1 = (-1)^{\frac{y-1}{2}} \cdot \left(\frac{x}{y}\right) \cdot \left(\frac{z}{y}\right),$$

and

$$1 = (-1)^{\frac{x-1}{2}} \left(\frac{x}{z}\right) \cdot \left(\frac{y}{z}\right).$$

Write  $a := \frac{x-1}{2}$ ,  $b := \frac{y-1}{2}$ ,  $c := \frac{z-1}{2}$ . Multiplying the three relations above side by side and using quadratic reciprocity we get

$$1 = (-1)^{a+b+c+ab+ac+bc}$$

which means that

$$S := a + b + c + ab + ac + bc$$

must be an even number. Let us notice that it is not possible that all three numbers a, b, c are even. Indeed, if this were so, then  $x \equiv y \equiv z \equiv 1 \pmod{4}$ , and reducing the equation

$$x^3 + y^3 + z^3 = n$$

modulo 4, we would get  $3 \equiv 1 \pmod 4$ , which is impossible. Thus, at least one of the numbers a, b, c is odd. This, together with the fact that S is even implies that all three numbers a, b, c are odd, therefore  $x \equiv y \equiv z \equiv 3 \pmod 4$ . We reduce now the relation

$$x^3 + y^3 + z^3 = x^\alpha y^\beta z$$

modulo 4, and since  $\alpha$  is even (see step 7), we get  $1 \equiv 3^{\beta+1} \pmod{4}$  and therefore that  $\beta$  is odd. Thus, we may write our original equation as

$$x^3 + y^3 + z^3 = m^2 y z, (4)$$

where  $m:=x^{\alpha/2}y^{(\beta-1)/2}$  is an integer. Write  $x+y=2\ell$ . Notice that since  $x\equiv y\equiv 3\pmod 4$ , we have that  $\ell$  is an odd number. Let p be an arbitrary prime divisor of  $\ell$ . Reducing the above equation mod p, we get  $z^3\equiv m^2yz\pmod p$ , therefore  $y\equiv \left(z/m\right)^2\pmod p$ . Thus,

$$\left(\frac{y}{p}\right)=1.$$

Since  $y \equiv -x \pmod{p}$ , we get that

$$1 = \left(\frac{y}{p}\right) = \left(\frac{-x}{p}\right) = \left(\frac{-1}{p}\right) \cdot \left(\frac{x}{p}\right) = (-1)^{\frac{p-1}{2}} \cdot (-1)^{\frac{x-1}{2} \cdot \frac{p-1}{2}} \cdot \left(\frac{p}{x}\right) = \left(\frac{p}{x}\right),$$

where in the above computation we used the quadratic reciprocity law together with the fact that  $x \equiv 3 \pmod{4}$ . Since the above formula holds for all prime divisors p of  $\ell$ , we get, by multiplying all these relations, that

$$1 = \left(\frac{\ell}{x}\right) = \left(\frac{(y+x)/2}{x}\right) = \left(\frac{4}{x}\right) \cdot \left(\frac{(y+x)/2}{x}\right) = \left(\frac{2y+2x}{x}\right) = \left(\frac{2y}{x}\right).$$

In the above argument, we used only equation (4) (which is symmetric in y and z), together with the fact that  $x \equiv y \equiv z \equiv 3 \pmod{4}$  (which is also symmetric in y and z), but we did not use size arguments (i.e. the fact that y < z). Thus, an identical argument can be carried through to show that

$$\left(\frac{2z}{x}\right) = 1.$$

Multiplying these last two relations we get

$$1 = \left(\frac{2y}{x}\right) \cdot \left(\frac{2z}{x}\right) = \left(\frac{4}{x}\right) \cdot \left(\frac{yz}{x}\right) = \left(\frac{yz}{x}\right),$$

which together with the fact that

$$\left(\frac{-yz}{x}\right) = 1$$

(see equation (3)), implies that

$$\left(\frac{-1}{x}\right) = 1,$$

contradicting the fact that  $x \equiv 3 \pmod{4}$ .

This completes the proof of Theorem 1.

## 4. An upper bound for $T_0(x)$

**Theorem 2.** As  $x \to \infty$ , we have

$$T_0(x) \leqslant x \exp\left\{-(1+o(1))\sqrt{\frac{1}{6}\log x \log\log x}\right\}.$$

**Proof.** First recall the estimate

$$\Psi(x,y) := \#\{n \leqslant x : P(n) \leqslant y\} \ll x \exp\{-(1+o(1))u \log u\},\tag{5}$$

where  $u = \log x / \log y$  (see for instance Tenenbaum [4]). Now let

$$y = \exp\left\{\sqrt{\frac{3}{2}\log x \log\log x}\right\} \tag{6}$$

and set

$$u = \frac{\log x}{\log y} = \sqrt{\frac{2 \log x}{3 \log \log x}} \quad \text{so that} \quad u \log u = (1 + o(1))\sqrt{\frac{1}{6} \log x \log \log x}. \quad (7)$$

It follows from (5), (6) and (7) that

$$\#\{n \leqslant x : n \in T_0, \ P(n) \leqslant y\} \ll x \exp\{-(1+o(1))u \log u\}$$

$$\ll x \exp\left\{-(1+o(1))\sqrt{\frac{1}{6}\log x \log \log x}\right\}.$$
(8)

We shall therefore assume from now on that P(n) > y.

Let x be a large number with the corresponding y and u defined by (6) and (7). Then, using Stirling's formula, as well as the fact that

$$\sum_{p \leqslant y} \frac{1}{p} = \log \log y + O(1)$$

holds as y tends to infinity, we get

$$\#\{n \leqslant x : \omega(n) \geqslant u\} \leqslant \sum_{p_1 \dots p_{\lfloor u \rfloor} \leqslant x} \frac{x}{p_1 \dots p_{\lfloor u \rfloor}} \leqslant \frac{x}{\lfloor u \rfloor!} \left(\sum_{p \leqslant x} \frac{1}{p}\right)^{\lfloor u \rfloor}$$

$$\leqslant x \left(\frac{e \log \log x + O(1)}{\lfloor u \rfloor}\right)^{\lfloor u \rfloor}$$

$$\leqslant x \exp\left\{-(1 + o(1))u \log u\right\}$$

$$\leqslant x \exp\left\{-(1 + o(1))\sqrt{\frac{1}{6} \log x \log \log x}\right\}.$$
(9)

Hence, from here on we may assume that  $\omega(n) < u$ .

We now neglect those integers  $n \leq x$ ,  $n \in T_0$  with P(n) > y and such that  $P(n)^2 | n$ , since the number of such integers is

$$\ll \#\{n \leqslant x : P(n) > y, \ P(n)^2 | n\} \leqslant \sum_{p > y} \frac{x}{p^2}$$

$$\ll \frac{x}{y} = x \exp\left\{-\sqrt{\frac{3}{2} \log x \log \log x}\right\}.$$
(10)

From here on, we shall therefore assume that Q := P(n) || n and write n = mQ. Now, writing (2) as

$$n = mQ = p_1^{b_1} + \ldots + p_k^{b_k}, \tag{11}$$

where  $p_1 < \ldots < p_k = Q$  are the prime factors of n and each  $b_i$  is non negative, we get from (11) that

$$p_1^{b_1} + \ldots + p_{k-1}^{b_{k-1}} + \delta \equiv 0 \pmod{Q}, \tag{12}$$

where  $\delta$  is 0 or 1, depending if  $b_k > 0$  or  $b_k = 0$ . The number appearing on the left hand side of (12) depends only on the prime factors of m and does not depend on Q, and moreover, each one of these numbers has at most  $\log x$  factors. Thus, we may fix  $m \leq x/y$  and count how many candidates there may be for a given prime number Q. Since n is not a prime power, we have  $k \geq 2$ , and therefore the left hand side of congruence (12) is a positive integer. Since  $p_i^{b_i} < n \leq x$ , it follows that  $b_i \ll \log x + 1$ . In fact,  $b_i < \log x + 1$  always holds except when i = 1 and  $p_1 = 2$ , in which case  $b_1 \leq \frac{\log x + 1}{\log 2}$ . Thus, the total number of integers which can appear on the left hand side of (12) is  $\ll (\log x + 1)^{\omega(n)} \ll (\log x + O(1))^u \ll \exp\{(1 + o(1))u \log \log x\}$ , which means that

$$\#\{n \leqslant x : n \in T_0, P(n) > y, P(n) | | n, \omega(n) < u\} 
\ll \frac{x \log x}{y} \exp\{(1 + o(1))u \log \log x\} 
\ll x \exp\left\{-(1 + o(1))\sqrt{\frac{1}{6} \log x \log \log x}\right\}.$$
(13)

Theorem 2 then follows from (8), (9), (10) and (13).

## 5. Empirical lower and upper bounds for T(x)

Although we cannot prove that T is an infinite set, a heuristic argument shows that

$$\exp\left(\frac{2}{e}(1+o(1))\frac{\log x}{(\log\log x)^2}\right) \leqslant T(x) \leqslant x^{1/2+o(1)}.$$
 (14)

Our argument goes as follows. First, we will show that, heuristically,

$$T(x) = \frac{1}{2} \sum_{n \le x} f(n), \quad \text{where} \quad f(n) := \frac{1}{n} \prod_{p \mid n} \left\lfloor \frac{\log n}{\log p} \right\rfloor, \quad (15)$$

from which we will show that (14) follows.

Indeed, given a positive integer n such that  $\omega(n)$  is odd and writing  $n = q_1^{a_1} \dots q_r^{a_r}$ , then in order to have  $n \in T$ , we must find a representation of the form

$$n = q_1^{\alpha_1} + \ldots + q_r^{\alpha_r}. \tag{16}$$

Now, for each exponent  $\alpha_i$ , there are  $\lfloor \log n/\log q_i \rfloor$  possible choices. Hence, if a representation of the form (16) is possible, then the exponents  $\alpha_i$  have been chosen in the interval  $[1, \lfloor \log n/\log q_i \rfloor]$ . Therefore, since there are  $\prod_{i=1}^r \lfloor \log n/\log q_i \rfloor$  possible choices for the right hand side of (16), we should 'expect' that a representation of the form (16) will be possible with a 'probability' equal to  $\frac{1}{n} \prod_{p|n} \left\lfloor \frac{\log n}{\log p} \right\rfloor$ ,

thus establishing (15); note that the factor  $\frac{1}{2}$  comes from the fact that a randomly chosen number has an odd " $\omega(n)$ " with a probability  $\frac{1}{2}$ .

It remains to prove that (14) follows from (15).

First we prove the lower bound. Let x be a large positive real number and let  $k \ge 1$  be an integer.

Let  $p_1 < \ldots < p_k$  be the first k primes. We shall consider only the contribution to T(x) of those positive integers  $n = p_1 \ldots p_k p \leq x$ , where  $p > p_k$  is a prime number. We first get rid of the integer parts. Clearly, if  $i \in \{1, \ldots, k\}$ , then

$$\left\lfloor \frac{\log n}{\log p_i} \right\rfloor = \frac{\log n}{\log p_i} - \left\{ \frac{\log n}{\log p_i} \right\} > \frac{\log n}{\log p_i} \left( 1 - \frac{\log p_i}{\log n} \right) > \frac{\log n}{\log p_i} \exp\left( -2 \frac{\log p_i}{\log n} \right),$$

where in the above inequalities we used the fact that  $\log p_i/\log n \leq 1/2$  and that the inequality  $1-t > \exp(-2t)$  holds for  $t \in (0,1/2)$ . Together with the fact that  $|\log n/\log p| \geq 1$ , we get

$$f(n) \geqslant \left(\prod_{i=1}^k \frac{\log n}{\log p_i}\right) \exp\left(-2\sum_{i=1}^k \frac{\log p_i}{\log n}\right) > \exp(-2) \prod_{i=1}^k \frac{\log n}{\log p_i} \gg \frac{(\log p)^k}{\log p_1 \dots \log p_k}.$$

This implies that

$$T(x) = \frac{1}{2} \sum_{n \leqslant x} f(n) \gg \sum_{\substack{p_1 \dots p_k p \leqslant x \\ p > p_k}} \frac{1}{p_1 \log p_1 \dots p_k \log p_k} \frac{(\log p)^k}{p}$$

$$= \frac{1}{p_1 \log p_1 \dots p_k \log p_k} \sum_{\substack{p_k \leqslant p \leqslant x/p_1 \dots p_k \\ p_k \leqslant p \leqslant x/p_1 \dots p_k}} \frac{(\log p)^k}{p}$$
(17)

$$\gg \frac{1}{p_1 \log p_1 \dots p_k \log p_k} \int_{p_k}^{x/p_1 \dots p_k} \frac{(\log t)^{k-1}}{t} dt$$

$$\gg \frac{1}{p_1 \log p_1 \dots p_k \log p_k} \frac{(\log (x/p_1 \dots p_k))^k}{k}$$

$$= \exp\left(k \log \log x - \sum_{i=1}^k (\log p_i + \log \log p_i) + O\left(\frac{k(\log p_1 + \dots + \log p_k)}{\log x}\right)\right).$$

The above chain of inequalities holds when k is such that

$$\log(x/p_1 \dots p_k) - \log p_k \gg \log(x/p_1 \dots p_k),$$

which in turn is true when

$$\log p_k + \frac{\log p_1 + \ldots + \log p_k}{\log x} = o(\log x),$$

which holds when

$$\log p_k + \frac{k \log p_k}{\log x} = o(\log x). \tag{18}$$

We now use the fact that, as k tends to infinity,

$$p_k \le k \log k + k \log \log k - k + o(k)$$

(see Théorème A (v) in [1]), together with the well known estimate

$$\sum_{p\leqslant y}\log p=\sum_{n\leqslant y}\Lambda(n)+O(y^{1/2})=y+O\left(\frac{y}{\exp(c\sqrt{\log y})}\right)=y+O\left(\frac{y}{(\log y)^2}\right),$$

where c is some positive constant and  $\Lambda$  denotes the von Mangoldt function, to conclude that

$$\sum_{i=1}^{k} \log p_i = p_k + O\left(\frac{p_k}{(\log k)^2}\right) \leqslant k \log k + k \log \log k - k + o(k). \tag{19}$$

Since  $p_k < 2k \log k$  holds for all sufficiently large k, we also have that

$$\sum_{i=1}^{k} \log \log p_{i} \leq k \log \log p_{k} \leq k \log (\log k + \log(2 \log k))$$

$$\leq k \log \log k + O\left(\frac{k \log \log k}{\log k}\right)$$

$$= k \log \log k + o(k).$$
(20)

l

Introducing inequalities (19) and (20) into (17), we get

$$T(x) \ge \exp\left(k\log\log x - k\log k - 2k\log\log k + k + o(k) + O\left(\frac{k^2\log k}{\log x}\right)\right)$$

$$= \exp\left(k\log\left(\frac{\log x}{k(\log k)^2}\right) + k + o(k) + O\left(\frac{k^2\log k}{\log x}\right)\right).$$
(21)

In order to maximize the main term of the above inequality, we should choose k versus x in such a way that the expression  $k \log \left(\frac{\log x}{k(\log k)^2}\right)$  should be as large as possible. Thus, we choose  $k := \left\lfloor \frac{1}{e} \frac{\log x}{(\log \log x)^2} \right\rfloor$ . We note that k is in the acceptable range; i.e.,  $p_1 \dots p_k < x$ , that condition (18) is fulfilled, that with this choice of k we have

$$k\log\left(\frac{\log x}{k(\log k)^2}\right) = (1 + o(1))k,$$

and that the error term is

$$\frac{k^2 \log k}{\log x} = \frac{k}{\log k} \frac{k(\log k)^2}{\log x} = O\left(\frac{k}{\log k}\right) = o(k).$$

Hence, we may replace (21) by

$$T(x) \ge \exp(2(1+o(1))k) = \exp\left(\frac{2}{e}(1+o(1))\frac{\log x}{(\log\log x)^2}\right),$$

which proves the left hand side of inequality (14).

We now prove the upper bound.

Fix a large number k and write

$$T(x) < \sum_{\substack{n \le x \\ \omega(n) \le k}} \frac{1}{n} \prod_{p|n} \frac{\log n}{\log p} + \sum_{\substack{n \le x \\ \omega(n) \geqslant k}} \frac{1}{n} \prod_{p|n} \frac{\log n}{\log p} = T_1(x) + T_2(x), \tag{22}$$

say. We have

$$T_1(x) \leqslant \sum_{\substack{n \leqslant x \\ \omega(n) \leqslant k}} \frac{1}{n} (\log n)^{\omega(n)} \leqslant \sum_{n \leqslant x} \frac{(\log n)^k}{n} \ll \frac{(\log x)^{k+1}}{k+1}. \tag{23}$$

In particular,

$$T_1(x) < (\log x)^{k+1}$$
 (24)

holds if k is sufficiently large.

In the sequel, we shall be using the fact that, if k is sufficiently large, then

$$\prod_{i=1}^{k} \log p_i > (\log k)^k. \tag{25}$$

Indeed, since  $p_i \geqslant i \log i$  holds for all  $i \geqslant 2$  (see [3]), one gets

$$\log p_i \geqslant \log i + \log \log i \qquad (i \geqslant 3). \tag{26}$$

The inequality  $\log(1+t) > t/2$  holds for all  $t \in (0,1/2)$ . The function  $t \mapsto \log \log t/\log t$  is decreasing for  $t > e^e$  and its value at  $e^e$  is 1/e < 1/2. Hence,

$$\log(\log i + \log\log i) = \log\log i + \log\left(1 + \frac{\log\log i}{\log i}\right) > \log\log i + \frac{1}{2}\frac{\log\log i}{\log i}$$

$$(i > e^e \approx 15.2).$$

We thus get

$$\begin{split} \sum_{i=1}^{k} \log \log p_{i} &\geqslant \sum_{i=16}^{k} \log (\log i + \log \log i) + O(1) \\ &= \sum_{i=16}^{k} \log \log i + \sum_{i=16}^{k} \log \left( 1 + \frac{\log \log i}{\log i} \right) + O(1) \\ &\geqslant \sum_{i=16}^{k} \log \log i + \frac{1}{2} \sum_{i=16}^{k} \frac{\log \log i}{\log i} + O(1) \\ &\geqslant \int_{16}^{k} \log \log t dt + \frac{1}{2} \int_{16}^{t} \frac{\log \log t}{\log t} dt + O(1) \\ &= t \log \log t \Big|_{t=16}^{t=k} - \int_{16}^{t} \frac{1}{\log t} dt + \frac{1}{2} \int_{16}^{t} \frac{\log \log t}{\log t} dt + O(1) \\ &> k \log \log k, \end{split}$$

where the last inequality follows for large enough k due to the fact that the function  $\int_{16}^{k} \left( \frac{1}{2} \frac{\log \log t}{\log t} - \frac{1}{\log t} \right) dt \text{ tends to infinity with } k, \text{ thus establishing (25)}.$ 

Using (25), we have

$$T_2(x) \leqslant \sum_{\substack{n \leqslant x \\ \omega(n) \geqslant k}} \frac{1}{n} \frac{(\log n)^k}{\prod_{i=1}^{\omega(n)} \log p_i} \leqslant \sum_{\substack{n \leqslant x \\ \omega(n) \geqslant k}} \frac{1}{n} \left(\frac{\log n}{\log \omega(n)}\right)^{\omega(n)}. \tag{27}$$

Using the fact that

$$\omega(n) \leqslant \frac{\log n}{\log \log n} + (1 + o(1)) \frac{\log n}{(\log \log n)^2}$$

(see Pomerance [2]), together with the fact that the function  $t \mapsto \left(\frac{\log n}{\log t}\right)^t$  is increasing for  $t \leq \log n$ , it follows, from (27), that

$$T_{2}(x) \leqslant \sum_{\substack{n \leqslant x \\ \omega(n) \geqslant k}} \frac{1}{n} \cdot n \cdot e^{O\left(\frac{\log x \cdot \log \log \log n}{\log \log n}\right)}$$

$$\ll \mathcal{N}_{k}(x) \exp\left\{O\left(\frac{\log x \log \log \log x}{\log \log x}\right)\right\},$$
(28)

where

$$\mathcal{N}_k(x) = \#\{n \leqslant x \mid \omega(n) \geqslant k\}.$$

It is easy to see, using Stirling's formula, that

$$\mathcal{N}_k(x) \leqslant x \sum_{\substack{q_1 < \dots < q_k \\ q_1 \dots q_k \leqslant x}} \frac{1}{q_1 \dots q_k} \leqslant \frac{x}{k!} \left( \sum_{q \leqslant x} \frac{1}{q} \right)^k \ll \frac{x}{\sqrt{k}} \left( \frac{e \log \log x + O(1)}{k} \right)^k. \tag{29}$$

In particular, combining (28) and (29), for large x and k, we have that

$$T_2(x) < x \cdot \left(\frac{(\log\log x)^{3/2}}{k}\right)^k \exp\left\{O\left(\frac{\log x \log\log\log x}{\log\log x}\right)\right\}.$$
 (30)

We now choose k such that  $k:=\left\lfloor\frac{1}{2}\frac{\log x}{\log\log x}\right\rfloor$ . It is clear that k is in the acceptable range; i.e.,  $k=\omega(n)$  for some  $n\leqslant x$ . Furthermore, inequality (24) shows that

$$T_1(x) < x^{1/2+o(1)},$$
 (31)

while inequality (30) shows that

$$T_2(x) < x \exp\left(\frac{3}{2}k \log\log\log x - k \log k - O\left(\frac{\log x \log\log\log x}{\log\log x}\right)\right)$$

$$= x \exp\left(-\frac{\log x}{2} + O\left(\frac{\log x \log\log\log x}{\log\log x}\right)\right) = x^{1/2 + o(1)}.$$
(32)

Using (31) and (32) in (22), we obtain the upper bound in (14).

**Acknowledgement.** The authors would like to thank the referee for some very helpful suggestions.

#### References

- [1] J. Massias and G. Robin, 'Bornes effectives pour certains functions concernant les nombres premiers', J. Théorie Nombres Bordeaux 8 (1996), 215–242.
- [2] C. Pomerance, 'On the distribution of round numbers', Ootacamund, India 1984, K. Alladi, ed., Lecture Notes in Math. 1122 (1985), 173–200.
- [3] J.B. Rosser and L. Schoenfeld, 'Approximate formulas for some functions of prime numbers', *Illinois J. Math.* 6 (1962), 64-94.
- [4] G. Tenenbaum, Introduction to Analytic and Probabilistic Number Theory, Cambridge University Press, 1995.

Addresses: Jean-Marie De Koninck, Départment de mathématiques, Université Laval, Québec G1K 7P4, Canada

Florian Luca, Mathematical Institute, UNAM, Ap. Postal 61-3 (Xangari), CP 58 089, Morelia, Michoacán, Mexico

E-mail: jmdk@mat.ulaval.ca; fluca@matmor.unam.mx Received: 30 July 2004; revised: 20 December 2004